

Introduction to  
Symmetric functions  
and  
Representation theory

Jae-Hoon Kwon  
Sungkyunkwan University

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1. Symmetric functions

2. Combinatorics of Young tableaux

3. Representations of symmetric groups

4. Representations of general linear groups

# 1. Symmetric functions

- References

[1] I. G. Macdonald, *Symmetric functions and Hall polynomials*, Oxford University Press, 2nd ed., 1995. (Chapter I)

[2] R. Stanley, *Enumerative Combinatorics*, Vol 2, Cambridge University Press, 1999. (Chapter 7)

Goal:

to introduce the notion of the ring of symmetric functions and  
some of its important linear bases

## 1.1 Ring of symmetric functions

- $x = (x_1, x_2, \dots)$  : a set of indeterminates

$\alpha = (\alpha_1, \alpha_2, \dots)$  : a seq. of non-neg. integers with a finite sum

- A homogeneous symmetric function of degree  $n$  ( $n \in \mathbb{N}$ )

is a formal power series

$$f(x) = \sum_{\alpha} c_{\alpha} x^{\alpha} \quad (x^{\alpha} = x_1^{\alpha_1} x_2^{\alpha_2} \dots)$$

(1)  $\sum_i \alpha_i = n$

(2)  $c_{\alpha} \in \mathbb{Z}$

(3)  $f(x_1, x_2, \dots) = f(x_{\sigma(1)}, x_{\sigma(1)}, \dots)$  for all permutation  $\sigma$  of  $\mathbb{N}$ .

- $\Lambda^n$  : the set of all symmetric functions of degree  $n$  ( $\Lambda^0 = \mathbb{Z}$ )

$\Lambda := \Lambda^0 \oplus \Lambda^1 \oplus \Lambda^2 \oplus \dots$  : the ring of symmetric functions.

( graded ring, i.e. for  $f \in \Lambda^m$ ,  $g \in \Lambda^n$ , we have  $fg \in \Lambda^{m+n}$ )

- $\Lambda_R = R \otimes_{\mathbb{Z}} \Lambda$  for  $\mathbb{Z} \subset R$ , (e.g.  $\Lambda_{\mathbb{Q}}$ ,  $\Lambda_{\mathbb{Q}[t], \dots}$ )

- $\Lambda_k^n := \Lambda^n |_{x_{k+1}=x_{k+2}=\dots=0}$

: the set of symmetric polynomials in  $k$  variables of degree  $n$

$\Lambda_k := \bigoplus_{n \geq 0} \Lambda_k^n = \mathbb{Z}[x_1, \dots, x_k]^{\mathfrak{S}_k}$

: the ring of symmetric polynomials in  $k$  variables

## 1.2 Partitions

- A **partition of  $n$**  is a sequence of non-negative integers

$$\lambda = (\lambda_1 \geq \lambda_2 \geq \dots) \quad \text{with } |\lambda| = \sum_k \lambda_k = n$$

$$\lambda = (4, 2, 1) \longleftrightarrow \begin{array}{cccc} \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & & \\ \bullet & & & \end{array} \quad \text{Young diagram of } \lambda$$

$\ell(\lambda)$  : the length of  $\lambda$  (the  $\#$  of non-zero parts in  $\lambda$ )

- $\mathcal{P}$  : the set of all partitions
- (Dominance ordering on  $\mathcal{P}$ ) For  $\lambda, \mu \in \mathcal{P}$ ,

$$\lambda \geq \mu \iff \sum_{i=1}^k \lambda_i \geq \sum_{i=1}^k \mu_i \quad \text{for all } k$$

\*  $\geq$  : not a linear ordering



## 1.3 Bases of $\Lambda$

- (Monomial symmetric functions)

$$m_\lambda(x) := \sum_{\alpha} x^\alpha \quad (\lambda \in \mathcal{P})$$

where the sum ranges over all distinct permutations  $\alpha$  of  $\lambda$

e.g.  $m_{(2)}(x) = \sum_i x_i^2$ ,  $m_{(2,1)}(x) = \sum_{i \neq j} x_i^2 x_j$

- $\{m_\lambda(x) \mid |\lambda| = n\}$  : a  $\mathbb{Z}$ -basis of  $\Lambda^n$
- $\{m_\lambda(x) \mid \lambda \in \mathcal{P}\}$  : a  $\mathbb{Z}$ -basis of  $\Lambda$

- (Elementary symmetric functions)

$$e_r(x) := \sum_{i_1 < \dots < i_r} x_{i_1} \cdots x_{i_r} \quad (r \geq 0)$$

In other words,  $E(t) = \prod_{i \geq 1} (1 + x_i t) = \sum_{r \geq 0} e_r(x) t^r$

$$e_\lambda(x) := e_{\lambda_1}(x) e_{\lambda_2}(x) \cdots \quad (\lambda \in \mathcal{P})$$

-  $\{e_\lambda(x) \mid \lambda \in \mathcal{P}\}$  : a  $\mathbb{Z}$ -basis of  $\Lambda$

\*  $\Lambda = \mathbb{Z}[e_1, e_2, \dots]$  and  $\{e_r(x) \mid r \geq 1\}$  is algebraically independent over  $\mathbb{Z}$

- (Complete symmetric functions)

$$h_r(x) := \sum_{i_1 \leq \dots \leq i_r} x_{i_1} \cdots x_{i_r} \quad (r \geq 0)$$

In other words,  $H(t) = 1 / \prod_{i \geq 1} (1 - x_i t) = \sum_{r \geq 0} h_r(x) t^r$

$$h_\lambda(x) := h_{\lambda_1}(x) h_{\lambda_2}(x) \cdots \quad (\lambda \in \mathcal{P})$$

-  $\{h_\lambda(x) \mid \lambda \in \mathcal{P}\}$  : a  $\mathbb{Z}$ -basis of  $\Lambda$

\*  $\Lambda = \mathbb{Z}[h_1, h_2, \dots]$  and  $\{h_r(x) \mid r \geq 1\}$  is algebraically independent over  $\mathbb{Z}$

For  $r \geq 1$ , we have  $\sum_{k=0}^r (-1)^k e_k(x) h_{r-k}(x) = 0$  (A1)

- (Power sum symmetric functions)

$$p_r(x) := \sum_i x_i^r \quad (r \geq 1)$$

In other words,  $P(t) = \frac{d}{dt} \log H(t) = \sum_{r \geq 1} p_r(x) t^{r-1}$

$$p_\lambda(x) := p_{\lambda_1}(x) p_{\lambda_2}(x) \cdots \quad (\lambda \in \mathcal{P})$$

-  $\{ p_\lambda(x) \mid \lambda \in \mathcal{P} \}$  : a basis of  $\Lambda_{\mathbb{Q}}$

\*  $\Lambda_{\mathbb{Q}} = \mathbb{Q}[p_1, p_2, \dots]$  and

$\{ p_r(x) \mid r \geq 1 \}$  is algebraically independent over  $\mathbb{Q}$

e.g.  $e_2(x) = \sum_{i < j} x_i x_j = \frac{1}{2}(p_1^2(x) - p_2(x))$ .

- (Schur functions)  $\lambda \in \mathcal{P}$  with  $\ell(\lambda) \leq n$

$$s_\lambda(x_1, \dots, x_n) := \frac{\sum_{\sigma \in \mathfrak{S}_n} \varepsilon(\sigma) \sigma(x^\lambda + \rho)}{\sum_{\sigma \in \mathfrak{S}_n} \varepsilon(\sigma) \sigma(x^\rho)}$$

where  $\rho = (n-1, n-2, \dots, 1, 0)$  (Schur **poly.** in  $n$  variables)

-  $s_\lambda(x_1, \dots, x_{n+1})|_{x_{n+1}=0} = s_\lambda(x_1, \dots, x_n)$  ( $n \geq 1$ )

$\exists!$   $s_\lambda(x) \in \Lambda$  s.t.  $s_\lambda(x)|_{x_{n+1}=x_{n+2}=\dots=0} = s_\lambda(x_1, \dots, x_n)$

(called Schur function)

-  $\{s_\lambda(x) \mid \lambda \in \mathcal{P}\}$  : a  $\mathbb{Z}$ -basis of  $\Lambda$

## 1.4 Inner product on $\Lambda$

- Define a bilinear form  $\langle \cdot, \cdot \rangle : \Lambda \times \Lambda \longrightarrow \mathbb{Z}$  by

$$\langle h_\lambda(x), m_\mu(x) \rangle := \delta_{\lambda\mu} \quad (\lambda, \mu \in \mathcal{P})$$

Then we have

$$\langle p_\lambda(x), p_\mu(x) \rangle = z_\lambda \delta_{\lambda\mu}$$

$$\langle s_\lambda(x), s_\mu(x) \rangle = \delta_{\lambda\mu}$$

\*  $z_\lambda = \prod_i i^{m_i} (m_i)!$  ( $m_i$  : # of parts equal to  $i$  in  $\lambda$ ).  $n!/z_\lambda = ???$

- $\langle \cdot, \cdot \rangle$  is symmetric and positive-definite
- $\{s_\lambda(x) \mid \lambda \in \mathcal{P}\}$  : an orthonormal basis of  $\Lambda$

∴ Let

$$\Pi(x, y) = \frac{1}{\prod_{i,j \geq 1} (1 - x_i y_j)}.$$

(Lemma)  $\{u_\lambda(x)\}, \{v_\mu(x)\}$  : two bases of  $\Lambda_{\mathbb{Q}}$ . TFAE (A2)

$$(1) \Pi(x, y) = \sum_{\lambda \in \mathcal{P}} u_\lambda(x) v_\lambda(y)$$

$$(2) \langle u_\lambda(x), v_\mu(x) \rangle = \delta_{\lambda\mu}$$

Also, we have

$$\begin{aligned} \Pi(x, y) &= \sum_{\lambda \in \mathcal{P}} h_\lambda(x) m_\lambda(y) = \sum_{\lambda \in \mathcal{P}} z_\lambda^{-1} p_\lambda(x) p_\lambda(y) && (A3) \\ &= \sum_{\lambda \in \mathcal{P}} s_\lambda(x) s_\lambda(y) && \text{(Cauchy identity)} \end{aligned}$$

- $\{s_\lambda(x) \mid \lambda \in \mathcal{P}\}$  is the unique  $\mathbb{Z}$ -basis diagonalizing  $\Pi(x, y)$

## 1.5 More on Schur functions

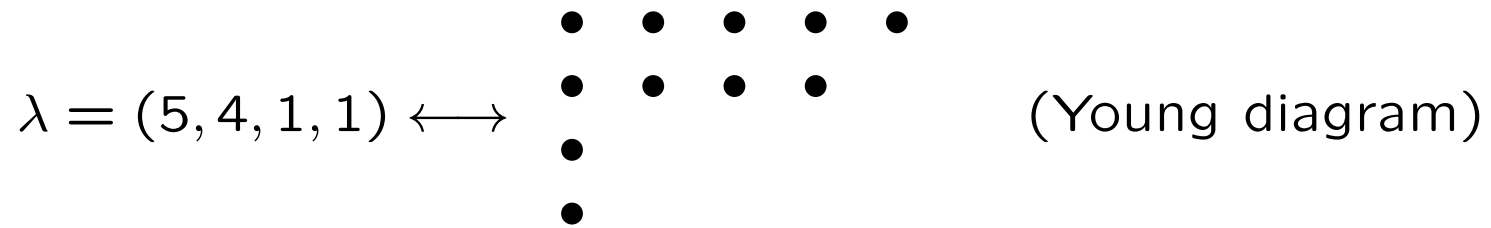
- For simplicity, write  $u = u(x)$  for a symmetric function  $u(x)$
- Jacobi-Trudi formula

$$\begin{aligned}
 s_\lambda &= \det(h_{\lambda_i - i + j})_{1 \leq i, j \leq n}, \\
 &= \det(e_{\lambda'_i - i + j})_{1 \leq i, j \leq n}, \quad (\ell(\lambda), \ell(\lambda') \leq n)
 \end{aligned}$$

$$\lambda = \begin{array}{cccc} \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & & \\ \bullet & & & \end{array} \quad \lambda' = \begin{array}{ccc} \bullet & \bullet & \bullet \\ \bullet & \bullet & \\ \bullet & & \\ \bullet & & \end{array} \quad (\text{conjugate of } \lambda)$$



- $\lambda \in \mathcal{P}$



$T$ : a **tableau of shape  $\lambda$**

$$T = \begin{array}{ccccc} 1 & 2 & 4 & 3 & 2 \\ 5 & 6 & 2 & 1 & \\ 3 & & & & \\ 4 & & & & \end{array}$$

$\text{wt}(T) = (2, 3, 2, 2, 1, 1)$  : the **weight of  $T$**

- $T$  : **standard**  $\Leftrightarrow$  the entries are  $<$  (rows) and  $\wedge$  (columns)

$$T = \begin{array}{ccccc} & 1 & 3 & 6 & 7 & 9 \\ & 2 & 5 & 8 & 10 & \\ & 4 & & & & \\ & 11 & & & & \end{array}$$

- $T$  : **semistandard**  $\Leftrightarrow$  the entries are  $\leq$  (rows) and  $\wedge$  (columns)

$$T = \begin{array}{ccccc} & 1 & 1 & 2 & 3 & 3 \\ & 3 & 3 & 4 & 5 & \\ & 4 & & & & \\ & 5 & & & & \end{array}$$

- $ST_n(\lambda)$  : the set of all standard tableaux of shape  $\lambda$  with the entries from  $\{1 < \dots < n\}$

- $ST_n(\lambda) \neq \emptyset$  if and only if  $|\lambda| = n$

- $SST_n(\lambda)$  : the set of all semistandard tableaux of shape  $\lambda$  with the entries from  $\{1 < \dots < n\}$

- $SST_n(\lambda) \neq \emptyset$  if and only if  $\ell(\lambda) \leq n$

- Then we have for  $\lambda \in \mathcal{P}$  with  $\ell(\lambda) \leq n$

$$s_\lambda(x_1, \dots, x_n) = \sum_{T \in SST_n(\lambda)} x^{\text{wt}(T)}$$

i.e.  $s_\lambda(x_1, \dots, x_n)$  is a weight generating function of  $SST_n(\lambda)$

- Kostka numbers  $K_{\lambda\mu}$

$$s_\lambda = m_\lambda + \sum_{\lambda > \mu} K_{\lambda\mu} m_\mu$$

$K_{\lambda\mu}$  = the # of SST of shape  $\lambda$  and weight  $\mu$

- $>_R$  : reverse lexicographical ordering on  $\mathcal{P}$

$\lambda >_R \mu$  if and only if the first non-zero  $\lambda_i - \mu_i$  is positive

( (1)  $>_R$  : a linear ordering, (2)  $\lambda \geq \mu \implies \lambda \geq_R \mu$  )

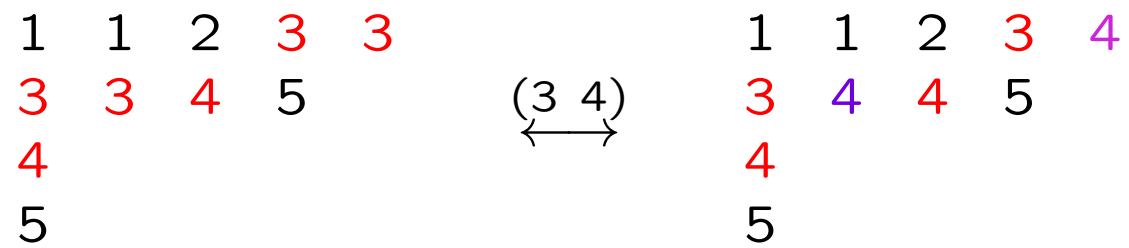
$6 >_R 51 >_R 42 >_R 411 >_R 33 >_R 321 >_R 3111 >_R 222$

$>_R 2211 >_R 2111 >_R 11111$

- $(K_{\lambda\mu})$  : upper unitriangular matrix (with respect to  $<_R$ )
- $\{s_\lambda | \lambda \in \mathcal{P}\}$  can be obtained from  $\{m_\lambda | \lambda \in \mathcal{P}\}$   
by Gram-Schmidt process;

$$\begin{aligned} \therefore s_\lambda &= m_\lambda + \sum_{\lambda > \mu} c_{\lambda\mu} m_\mu \quad \text{for some } c_{\lambda\mu} \\ \langle s_\lambda, s_\mu \rangle &= \delta_{\lambda\mu} \end{aligned}$$

- The action of the symmetric group  $\mathfrak{S}_n$  on  $\text{SST}_n(\lambda)$



## 1.6 Graded Hopf algebra $\Lambda$

- Multiplication (Littlewood-Richardson coefficient)

$$s_\mu s_\nu = \sum_{\lambda} c_{\mu\nu}^{\lambda} s_{\lambda}$$

- Comultiplication

Define  $\Delta : \Lambda \longrightarrow \Lambda \otimes \Lambda$  by

$$\Delta(e_r) := \sum_{p+q=r} e_p \otimes e_q \in \Lambda \otimes \Lambda$$

$\Delta$  : coassociative

$$\begin{array}{ccc}
 & \Lambda & \xrightarrow{\Delta} & \Lambda \otimes \Lambda \\
 \Delta & \downarrow & \circlearrowleft & \downarrow & \text{id} \otimes \Delta \\
 & \Lambda \otimes \Lambda & \xrightarrow{\Delta \otimes \text{id}} & \Lambda \otimes \Lambda \otimes \Lambda & 
 \end{array}$$

- $1$  : a unit in  $\Lambda$

Counit  $\varepsilon : \Lambda \longrightarrow \mathbb{Z}$  given by  $\varepsilon|_{\Lambda^n} = 0$  ( $n \geq 1$ ) and  $\varepsilon(1) = 1$

- $(\Lambda, m, \Delta, 1, \varepsilon)$  : a graded Hopf algebra (over  $\mathbb{Z}$ )
- $\Lambda$  : self-dual (i.e.  $\Lambda^\circ = \bigoplus_{n \geq 0} (\Lambda^n)^* \simeq \Lambda$ )

$$\therefore \Delta(s_\lambda) = \sum_{\mu, \nu} c_{\mu\nu}^\lambda s_\mu \otimes s_\nu$$

$$\Delta^*(s_\mu^* \otimes s_\nu^*)(s_\lambda) := (s_\mu^* \otimes s_\nu^*)(\Delta(s_\lambda)) = c_{\mu\nu}^\lambda$$

$$\therefore \Delta^*(s_\mu^* \otimes s_\nu^*) = \sum_\lambda c_{\mu\nu}^\lambda s_\lambda^*$$